

Windsor Ascot and Maidenhead Better Care Fund Programme - Risk Register

Risk Ref	Category	Source & Date Raised	Risk Description	Inherent risk score			Required controls and actions to reduce/mitigate risk	Review Dates	SRO / Monitor/ Review body	Residual Risk Score and Rating			Open / Closed / Moved Filter
				L	I	RR				L	I	RRR	
Wok Risk 01	Delivery	Apr-16	Projects do not achieve proposed NEL reductions	3	4	12	Regular performance reporting on individual projects to BCF Board and new WAMCCG performance review group (PRG) monthly meetings. Increased focus on shared learning and joint approach to key obstacles to progress across East Berkshire dialogue.	Monthly	BCF Board to review monthly & HWB quarterly	3	2	6	Open
	Delivery	Apr-16	Risk sharing funding is not available	2	5	10	Unlikely that risk share is not available - but we need a strategy on how to manage the risk share funding to best advantage with a longer term strategy	Monthly	BCF Board to review monthly & HWB quarterly	1	5	5	Open
	Finance	Apr-16	Significant cumulative/unanticipated service demands or other force majeure that significantly impacts on overall budget base for key partners	2	5	10	Effective management of organisational reserves and regular monitoring of potential risk that might accelerate or develop into significant challenges - less likely if up to date monitoring and open communication is in place	Monthly	Senior finance leads in partner organisations	1	5	5	Open
Wok Risk 02	Delivery	01-Apr-16	Failure of partners to agree structure and form of new services	3	4	12	Involvement of BHFT and Frimley in review of Intermediate Care service transformation. Appointment of new BHFT East Berkshire transformation lead. Key partner involvement in development of New Vision of Care promotion and implementation planning. Review of locality based Complex case management and RACC services across East Berks with BHFT. Programme of workshops and consultation programme to develop primary care model and ensure credibility of community pathways	Monthly	BCF Board	2	3	6	Open
Wok Risk 04	Engagement	01-Apr-16	Potential that the public, politicians and other key stakeholders are not adequately engaged with the BCF Programme and as a result there is dissatisfaction around the changes to services.	5	3	15	Robust communications and engagement strategy as part of HWB comms. Involvement of patient panels in key projects. Each project detailing its engagement approach in business plans. Fortnightly updates with Lead Member. Integration of BCF messaging with New models of Primary Care programme and feedback from residents and patients.	Monthly	Project Managers	2	3	6	Open
Wok Risk 05	Programme Mgmt.	Apr-16	Better Care Fund schemes are delayed, resulting in a larger than planned underspend in the pooled budget	5	2	10	The project teams have established timelines in their business cases, plans of action are in place indicating where further work is required, with named leads and defined timescales for completion. Monthly monitoring by finance lead and reporting to BCF Board	Monthly	Finance lead//BCF Programme manager	2	2	4	Open
	Programme Management	Apr-16	Inefficient use of underspends in year in the pooled budget	5	2	10	There is an issue if we don't have clearer direction from partners on priorities and open discussion of risk appetite for future opportunities. Active implementation of transparent review and decision-making process for "in year" ideas and BCF/Finance Group proposals to STP leads for guidance on longer term priorities.	Monthly	finance lead/BCF Board and quarterly HWB update	3	2	6	

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Wok Risk 06	Delivery	Apr-16	Workforce Development strategy and action plan insufficiently well defined and resourced to meet BCF programme needs. This includes current staffing shortfalls	5	4	12	Need feedback and update from System Leaders Group and NVOC steering group that this is part of their forward plan and what actions can be expected.	Monthly	BCF Programme manager/BCF Board	4	3	4	Open